

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

OFGS FILE NO.
P/3879-27

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

the specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 29, 2001 as United States patent Application Number or PCT International patent application number 09/897,594 and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ____ NO ____
			YES ____ NO ____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)
09/603,389	June 23, 2000	pending

I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625; Douglas A. Miro - Reg. No. 31,643, and Michael J. Scheer - Reg. No. 34,425, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO:

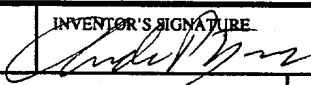
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-8403
CUSTOMER NO. 2352

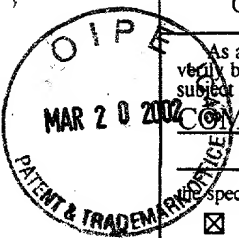
DIRECT TELEPHONE CALLS TO:
(212) 382-0700

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FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052, United States			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 41 West William Street, Lincoln Park, New Jersey 07035, United States			

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FULL NAME OF THIRD JOINT INVENTOR, IF ANY Neena JAIN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080, United States			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY James SHU		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) East Hanover New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 42 Tilden Drive, East Hanover, New Jersey 07936, United States			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Edward R. HOWORKA		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Morris Plains, New Jersey		COUNTRY OF CITIZENSHIP Poland	
POST OFFICE ADDRESS 2043 Gates Court, Morris Plains, New Jersey 07950, United States			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Andrew P. FORAY		INVENTOR'S SIGNATURE 	DATE 11-06-01
RESIDENCE (City and either State or Foreign Country) Wayne, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 21 New Street, Wayne, New Jersey 07470, United States			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Michael S. MEROLD		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Sparta, New Jersey		COUNTRY OF CITIZENSHIP United States	
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SEND CORRESPONDENCE TO: **OSTROLENK, FABER, GERB & SOFFEN, LLP** DIRECT TELEPHONE CALLS TO:
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NEW YORK, NEW YORK 10036-8403 (212) 382-0700
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FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Michael S. MEROLD		INVENTOR'S SIGNATURE 		DATE 11/13/2001
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MAR 20 2002

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NEW YORK, NEW YORK 10036-8403
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FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO	INVENTOR'S SIGNATURE	DATE
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POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080, United States			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY James SHU		INVENTOR'S SIGNATURE <i>[Signature]</i>	DATE Dec. 25, 01
RESIDENCE (City and either State or Foreign Country) East Hanover New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 42 Tilden Drive, East Hanover, New Jersey 07936, United States			
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POST OFFICE ADDRESS 21 New Street, Wayne, New Jersey 07470, United States			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Mchael S. MEROLD		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Sparta, New Jersey		COUNTRY OF CITIZENSHIP United States	
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FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN	INVENTOR'S SIGNATURE <i>Vladimir Neyman</i>	DATE 3/11/02
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey		COUNTRY OF CITIZENSHIP United States
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052, United States		
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO	INVENTOR'S SIGNATURE	DATE
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I hereby appoint customer no. 2352 OSTROLENK, FABER, GERB & SOFFEN, LLP, and the members of the firm, Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944; Louis C. Dujmich - Reg. No. 30,625; Douglas A. Miro - Reg. No. 31,643, and Michael J. Scheer - Reg. No. 34,425, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO:

OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-8403
CUSTOMER NO. 2352

DIRECT TELEPHONE CALLS TO:
(212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Vladimir NEYMAN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) West Orange, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 275 Northfield Avenue, West Orange, New Jersey 07052, United States			
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		INVENTOR'S SIGNATURE <i>Steven Iaccchio</i>	DATE 11/6/01
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 41 West William Street, Lincoln Park, New Jersey 07035, United States			

☒ CONTINUED ON PAGE 2

UNITED STATES OF AMERICA COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION			OFGS FILE NO. P/3879-27
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119 YES ___ NO ___
			YES ___ NO ___
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
FULL NAME OF THIRD JOINT INVENTOR, IF ANY Neena JAIN		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 3756 Victory Street, South Plainfield, New Jersey 07080, United States			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY James SHU		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) East Hanover New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 42 Tilden Drive, East Hanover, New Jersey 07936, United States			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Edward R. HOWORKA		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Morris Plains, New Jersey		COUNTRY OF CITIZENSHIP Poland	
POST OFFICE ADDRESS 2043 Gates Court, Morris Plains, New Jersey 07950, United States			
FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Andrew P. FORAY		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Wayne, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 21 New Street, Wayne, New Jersey 07470, United States			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Michael S. MEROLD		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Sparta, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 14 Walkill Road, Sparta, New Jersey 07871, United States			

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

OFGS FILE NO.
P/3879-27

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOUND ORDER HANDLING IN AN ANONYMOUS TRADING SYSTEM

The specification of which is attached hereto, unless the following box is checked:

☒ was filed on June 29, 2001 as United States patent Application Number or PCT International patent application number 09/897,594 and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES ____ NO ____
			YES ____ NO ____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

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FULL NAME OF SECOND JOINT INVENTOR (IF ANY) Steven IACCHEO		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Lincoln Park, New Jersey		COUNTRY OF CITIZENSHIP United States	
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FULL NAME OF THIRD JOINT INVENTOR, IF ANY Neena JAIN		INVENTOR'S SIGNATURE <i>Neena Jain</i>	DATE 11/30/01
RESIDENCE (City and either State or Foreign Country) South Plainfield, New Jersey		COUNTRY OF CITIZENSHIP United States	
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FULL NAME OF FOURTH JOINT INVENTOR, IF ANY James SHU		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) East Hanover New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 42 Tilden Drive, East Hanover, New Jersey 07936, United States			
FULL NAME OF FIFTH JOINT INVENTOR, IF ANY Edward R. HOWORKA		INVENTOR'S SIGNATURE <i>[Signature]</i>	DATE 11/30/01
RESIDENCE (City and either State or Foreign Country) Morris Plains, New Jersey		COUNTRY OF CITIZENSHIP Poland	
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FULL NAME OF SIXTH JOINT INVENTOR, IF ANY Andrew P. FORAY		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Wayne, New Jersey		COUNTRY OF CITIZENSHIP United States	
POST OFFICE ADDRESS 21 New Street, Wayne, New Jersey 07470, United States			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY Michael S. MEROLD		INVENTOR'S SIGNATURE	DATE
RESIDENCE (City and either State or Foreign Country) Sparta, New Jersey		COUNTRY OF CITIZENSHIP United States	
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